

**THE NORTH CAROLINA PLAN**  
**FOR ADMINISTERING**  
**THE COMMUNITY SERVICES BLOCK GRANT PROGRAM**  
**IN**  
**FISCAL YEARS 2014 and 2015**

**May 2014  
(Amended)**

**North Carolina Department of Health and Human Services  
Department of Social Services, Family and Economic Services Section  
Office of Economic Opportunity  
2420 Mail Service Center  
Raleigh, North Carolina 27699-2420  
(919) 527-6250 [phone]  
(919)-334-1265 [fax]  
<http://www.ncdhhs.gov/oeo/>**

## **Statement on Poverty and the Community Services Block Grant (CSBG) in North Carolina**

According to the 2011 U.S. Census Bureau Small Area Income Poverty Estimates, 17.8% of North Carolinians live in poverty with a child poverty rate of 25.4%. According to the U.S. Census Bureau 2007-2011 American Community Survey 5-Year Estimates, 10.3% of individuals aged 65 and older live in poverty. For the purpose of North Carolina's Community Services Block Grant (CSBG) State Plan, poverty is defined as economic insufficiency or lack of resources to attain basic needs such as food, clothing, shelter, transportation and healthcare. Additionally, it is acknowledged that the causes and resolutions of poverty are associated with personal responsibility, availability of community resources and opportunities for individuals to access resources. The CSBG State Plan seeks to outline a strategic approach to effective administration, outcome-based performance management and optimal accountability for this funding.

CSBG is the federal, anti-poverty block grant which in North Carolina funds the operations of the state's administrative oversight office and the network of local non-profit Community Action Agencies (CAA), governmental and Limited Purpose Agencies (LPAs) for the primary purpose of reducing poverty. Most agencies in the CSBG network are Community Action Agencies (CAAs), created through the Economic Opportunity Act, a predecessor of CSBG. In North Carolina, the impact of CAAs dates back to 1963 with the development of a public/private partnership known as the North Carolina Fund. The network continues to expand with CAAs currently designated to serve 96 of North Carolina's 100 counties and LPAs serving special populations statewide. These agencies are delivering responsive and effective services by mobilizing public and private resources to move low-income families from poverty into economic independence.

Two trademarks of CSBG are the tripartite board requirement for eligible entities and the National Results Oriented Management and Accountability (ROMA) model. CSBG requires agencies to have a tripartite board structure which serves as an opportunity for shared leadership, accountability and integrity between representatives of low-income, elected public officials and leaders from the private sector. Being active in the administration of the program moves low-income individuals from a posture of being 'subject to' available anti-poverty programming to 'shared governance in' available anti-poverty programming. Such values and traits are essential for fostering law-abiding, contributing and upstanding community members. A second trademark of CSBG is the National ROMA model which serves as the primary training and reporting framework for the North Carolina CSBG network. Additionally, the National ROMA model drives accountability and performance management in areas of family stability, community revitalization and agency capacity.

With the eminent impact of sequestration and other budget constraints that threaten to adversely affect CSBG funding and low-income individuals who receive CSBG services, North Carolina still faces the reality that the state's poverty rate exceeds the national average. As such, all efforts will be channeled towards ensuring that these limited but essential resources are maximized through leveraging the community resources and human capital required to combat the central causes of poverty that persist in our state.

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Certification Regarding Environmental Tobacco Smoke

**VII. Appendices**

To be added in final amended plan

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Insert Transmittal Letter

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## **II. Federal Fiscal Years Covered by State Plan**

The North Carolina Plan for Administering the Community Services Block Grant Program in Fiscal Years 2014 and 2015 serves as North Carolina's application to the Department of Health and Human Services, Office of Community Services for funding for federal fiscal years 2014 and 2015. This application document was prepared in accordance with requirements of the Act and the Office of Community Services.

## **IV. Executive Summary**

The major goal of the North Carolina Community Services Block Grant Program is to provide funding to Community Action Agencies and Limited Purpose Agencies to carry out activities that will enable low-income families to move out of poverty.

This grant program is administered by the Department of Health and Human Services, Division of Social Services, Economic and Family Services Section, Office of Economic Opportunity.

### **A. CSBG State Legislation**

The North Carolina State Executive Budget Act, General Statute 143-16.1, requires that the Secretary of the Department of Health and Human Services report to the General Assembly on the administration of the Community Services Block Grant Program. The report must include, but is not limited to a delineation of the proposed dollar amount of allocations by activity and by category, including dollar amounts to be used for administration costs and a comparison of the proposed funding with two prior years' program budgets. The State's CSBG Block Grant Plan was presented [to be added post legislative hearing]

### **B. Designation of Lead State Agency to Administer CSBG Program (see next page)**

### **C. Public Hearing Requirement**

- (1) Public Hearing: to be added post public hearing
- (2) Legislative Hearing: to be added post legislative hearing
- (2) Public Inspection of State Plan: to be added at conclusion of public inspection

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Governor Letter to be inserted

#### **IV. Statement of Federal and CSBG Assurances**

As part of the annual or biannual application and plan required by Section 676 of the Community Services Block Grant Act, as amended, (42 U.S. C. 9901 et seq.) (The Act), the designee of the chief executive of the State hereby agrees to the Assurances in Section 676 of the Act –

##### **A. Programmatic Assurances**

(1) Funds made available through this grant or allotment will be used:

- (a) To support activities that are designed to assist low-income families and individuals, including families and individuals receiving assistance under part A of title IV of the Social Security Act (42 U.S.C. 601 et seq.), homeless families and individuals, migrant or seasonal farmworkers, and elderly low-income individuals and families to enable the families and individuals to:
  - (i) remove obstacles and solve problems that block the achievement of self-sufficiency (including self-sufficiency for families and individuals who are attempting to transition off a State program carried out under part A of title IV of the Social Security Act);
  - (ii) secure and retain meaningful employment;
  - (iii) attain an adequate education, with particular attention toward improving literacy skills of the low-income families in the communities involved, which may include carrying out family literacy initiatives;
  - (iv) make better use of available income;
  - (v) obtain and maintain adequate housing and a suitable living environment;
  - (vi) obtain emergency assistance through loans, grants, or other means to meet immediate and urgent family and individual needs; and
  - (vii) achieve greater participation in the affairs of the communities involved, including the development of public and private grassroots partnerships with local law enforcement agencies, local housing authorities, private foundations, and other public and private partners to document best practices based on successful grassroots intervention in urban areas, to develop methodologies for widespread replication; and strengthen and



improve relationships with local law enforcement agencies, which may include participation in activities such as neighborhood or community policing efforts;

- (b) To address the needs of youth in low-income communities through youth development programs that support the primary role of the family, give priority to the prevention of youth problems and crime, and promote increased community coordination and collaboration in meeting the needs of youth, and support development and expansion of innovative community-based youth development programs that have demonstrated success in preventing or reducing youth crime, such as programs for the establishment of violence-free zones that would involve youth development and intervention models (such as models involving youth mediation, youth mentoring, life skills training, job creation, and entrepreneurship programs); and after-school child care programs; and
  - (c) To make more effective use of, and to coordinate with, other programs (including State welfare reform efforts); [‘676(b)(1)]
- (2) To describe how the State intends to use discretionary funds made available from the remainder of the grant or allotment described in section 675C(b) of the Act in accordance with the Community Services Block Grant Program, including a description of how the State will support innovative community and neighborhood-based initiatives related to the purposes of the Community Services Block Grant Program; [‘676(b)(2)]
- (3) To provide information provided by eligible entities in the State, including:
- (a) a description of the service delivery system, for services provided or coordinated with funds made available through grants made under section 675C(a) of the Act, targeted to low-income individuals and families in communities within the State;
  - (b) a description of how linkages will be developed to fill identified gaps in services, through the provision of information, referrals, case management, and follow-up consultations;
  - (c) a description of how funds made available through grants made under section 675C(a) will be coordinated with other public and private resources; and
  - (d) a description of how local entities will use the funds to support innovative community and neighborhood-based initiatives related to the purposes of the Community Services Block Grant, which may include

fatherhood initiatives and other initiatives with the goal of strengthening families and encouraging effective parenting. ['676(b)(3)]

- (4) To ensure that eligible entities in the State will provide, on an emergency basis, for the provision of such supplies and services, nutritious foods, and related services, as may be necessary to counteract conditions of starvation and malnutrition among low-income individuals. ['676(b)(4)]
- (5) That the State and eligible entities in the State will coordinate, and establish linkages between, governmental and other social services programs to assure the effective delivery of such services to low-income individuals and to avoid duplication of such services, and the State and the eligible entities will coordinate the provision of employment and training activities in the State and in communities with entities providing activities through statewide and local workforce investment systems under the Workforce Investment Act of 1998. ['676(b)(5)]
- (6) To ensure coordination between antipoverty programs in each community in the State, and ensure, where appropriate, that emergency energy crisis intervention programs under title XXVI (relating to low-income home energy assistance) are conducted in such communities. ['676(b)(6)]
- (7) To permit and cooperate with Federal investigations undertaken in accordance with section 678D of the Act. ['676(b)(7)]
- (8) That any eligible entity in the State that received funding in the previous fiscal year through a community services block grant under the Community Services Block Grant Program will not have its funding terminated under this subtitle, or reduced below the proportional share of funding the entity received in the previous fiscal year unless, after providing notice and an opportunity for a hearing on the record, the State determines that cause exists for such termination or such reduction, subject to review by the Secretary as provided in section 678C(b) of the Act. ['676(b)(8)]
- (9) That the State and eligible entities in the State will, to the maximum extent possible, coordinate programs with and form partnerships with other organizations serving low-income residents of the communities and members of the groups served by the State, including religious organizations, charitable groups, and community organizations. ['676(b)(9)]
- (10) To require each eligible entity in the State to establish procedures under which a low-income individual, community organization, or religious organization, or representative of low-income individuals that considers its organization, or low-income individuals, to be inadequately represented on the board (or other mechanism) of the eligible entity to petition for adequate representation. ['676(b)(10)]

- (11) To secure from each eligible entity in the State, as a condition to receipt of funding, a community action plan (which shall be submitted to the Secretary, at the request of the Secretary, with the State plan) that includes a community-needs assessment for the community served, which may be coordinated with community-needs assessment conducted for other programs. ['676(b)(11)]
- (12) That the State and all eligible entities in the State will, not later than fiscal Year 2001, participate in the Results Oriented Management and Accountability System, another performance measure system for which the Secretary facilitated development pursuant to Section 678E(b) of the Act. ['676(b)(12)]
- (13) To provide information describing how the State will carry out these assurances. ['676(b)(13)]

**B. Administrative Assurances**

The State further agrees to the following, as required under the Act:

- (1) To submit an application to the Secretary containing information and provisions that describe the programs for which assistance is sought under the Community Services Block Grant Program that is prepared in accordance with and containing the information described in Section 676 of the Act. ['675A(b)]
- (2) To use not less than 90 percent of the funds made available to the State by the Secretary under Section 675A or 675B of the Act to make grants to eligible entities for the stated purposes of the Community Services Block Grant Program and to make such funds available to eligible entities for obligation during the fiscal year and the succeeding fiscal year, subject to provisions regarding recapture and redistribution of unobligated funds outlined below. ['675C(a)(1) and (2)]
- (3) In the event that the State elects to recapture and redistribute funds to an eligible entity through a grant made under Section 675C(a)(1) when unobligated funds exceed 20 percent of the amount so distributed to such eligible entity for such fiscal year, the State agrees to redistribute recaptured funds to an eligible entity, or require the original recipient of the funds to redistribute the funds to a private, nonprofit organization, located within the community served by the original recipient of the funds, for activities consistent with the purposes of the Community Services Block Grant Program. ['675C(a)(3)]
- (4) To spend no more than the greater of \$55,000 or 5 percent of its grant

received under Section 675A or the State allotment received under section 675B for administrative expenses, including monitoring activities.  
[‘675C(b)(2)]

- (5) In states with a charity tax credit in effect under state law, the State agrees to comply with the requirements and limitations specified in Section 675(c) regarding use of funds for statewide activities to provide charity tax credits to qualified charities whose predominant activity is the provision of direct services within the United States to individuals and families whose annual incomes generally do not exceed 185 percent of the poverty line in order to prevent or alleviate poverty among such individuals and families. [‘675(c)]
- (6) That the lead agency will hold at least one hearing in the State with sufficient time and statewide distribution of notice of such hearing, to provide to the public an opportunity to comment on the proposed use and distribution of funds to be provided through the grant or allotment under Section 675A or ‘675B for the period covered by the State plan. [‘676(a)(2)(B)]
- (7) That the chief executive officer of the State will designate an appropriate State agency for purposes of carrying out State Community Services Block Grant Program activities. [‘676(a)(1)]
- (8) To hold at least one legislative hearing every three years in conjunction with the development of the State plan. [‘676(a)(3)]
- (9) To make available for the public inspection each plan or revised State plan in such a manner as will facilitate review of and comment on the plan.  
[‘676(e)(2)]
- (10) To conduct the following reviews of eligible entities:
  - (a) a full onsite review of each such entity at least once during each three-year period;
  - (b) an onsite review of each newly designated entity immediately after the completion of the first year in which such entity receives funds through the Community Service Block Grant Program;
  - (c) follow-up reviews including prompt return visits to eligible entities, and their programs, that fail to meet the goals, standards, and requirements established by the State;
  - (d) other reviews as appropriate, including reviews of entities with programs that have had other Federal, State or local grants (other than assistance provided under the Community Services Block Grant Program) terminated for cause. [‘678B(a)]

- (11) In the event that the State determines that an eligible entity fails to comply with the terms of an agreement or the State plan, to provide services under the Community Services Block Grant Program or to meet appropriate standards, goals, and other requirements established by the State (including performance objectives) the State will comply with the requirements outlined in Section 678C of the Act, to:
- (a) inform the entity of the deficiency to be corrected;
  - (b) require the entity to correct the deficiency;
  - (c) offer training and technical assistance as appropriate to help correct the deficiency, and submit to the Secretary a report describing the training and technical assistance offered or stating the reasons for determining that training and technical assistance are not appropriate;
  - (d) at the discretion of the State, offer the eligible entity an opportunity to develop and implement, within 60 days after being informed of the deficiency, a quality improvement plan and to either approve the proposed plan or specify reasons why the proposed plan cannot be approved;
  - (e) after providing adequate notice and an opportunity for a hearing, initiate proceedings to terminate the designation of or reduce the funding to the eligible entity unless the entity corrects the deficiency. [‘678(C)(a)]
- (12) To establish fiscal controls, procedures, audits and inspections, as required under Sections 678D(a)(1) and 678D(a)(2) of the Act.
- (13) To repay to the United States amounts found not to have been expended in accordance with the Act, or the Secretary may offset such amounts against any other amount to which the State is or may become entitled under the Community Services Block Grant Program. [‘678D(a)(3)]
- (14) To participate, by October 1, 2001, and ensure that all-eligible entities in the State participate in the Results-Oriented Management and Accountability (ROMA) System. [‘678E(a)(1)]
- (15) To prepare and submit to the Secretary an annual report on the measured performance of the State and its eligible entities, as described under ‘678E(a)(2) of the Act.
- (16) To comply with the prohibition against use of Community Services Block Grant funds for the purchase or improvement of land, or the purchase, construction, or permanent improvement (other than low-cost residential

weatherization or other energy-related home repairs) of any building or other facility, as described in Section 678F(a) of the Act.

- (17) To ensure that programs assisted by Community Services Block Grant funds shall not be carried out in a manner involving the use of program funds, the provisions of services, or the employment or assignment of personnel in a manner supporting or resulting in the identification of such programs with any partisan or nonpartisan political activity or any political activity associated with a candidate, or contending faction or group, in an election for public or party office; any activity to provide voter or prospective voters with transportation to the polls or similar assistance with any such election, or any voter registration activity. [‘678F(b)]
- (18) To ensure that no person shall, on the basis of race, color, national origin or sex be excluded from participation in, be denied the benefits of, or be subjected to discrimination under, any program or activity funded in whole or in part with Community Services Block Grant Program funds. Any prohibition against discrimination on the basis of age under the Age Discrimination Act of 1975 (42 U.S.C 6101 et seq.) or with respect to an otherwise qualified individual with a disability as provided in Section 504 of the Rehabilitation Act of 1973 (29 U.S.C 12131 et seq.) shall also apply to any such program or activity. [‘678F(c)]
- (19) To consider religious organizations on the same basis as other non-governmental organizations to provide assistance under the program so long as the program is implemented in a manner consistent with the Establishment Clause of the first amendment to the Constitution; not to discriminate against an organization that provides assistance under, or applies to provide assistance under the Community Services Block Grant Program on the basis that the organization has a religious character, and not to require a religious organization to alter its form of internal government except as provided under Section 678B or to remove religious art, icons, scripture or other symbols in order to provide assistance under the Community Services Block Grant Program. [‘679]

#### C. Other Administrative Certifications

The State also certifies the following:

- (1) To provide assurances that cost and accounting standards of the Office of Management and Budget (OMB Circular A-110 and A-122) shall apply to a recipient of Community Services Block Grant Program funds.
- (2) To comply with the requirements of Public Law 103-227, Part C Environmental Tobacco Smoke, also known as the Pro-Children Act of 1994, which requires that smoking not be permitted in any portion of any indoor facility owned or leased or contracted for by an entity and used routinely or regularly or the

provision of health, day care, education, or library services to children under the age of 18 if the services are funded by a Federal grant, contract, loan or loan guarantee. The State further agrees that it will require the language of this certification be included in any sub-awards, which contain provisions for children’s services and that all sub-grantees shall certify accordingly.

NAME OF APPLICANT	PR/AWARD NUMBER AND/OR PROJECT NAME
N.C. Department of Health and Human Services	Community Services Block Grant Program
PRINTED NAME AND TITLE OF AUTHORIZED REPRESENTATIVE	
Aldona Z. Wos, M.D., NC DHHS Secretary	
SIGNATURE	DATE
X	

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## **V. The Narrative State Plan**

### **A. Administrative Structure**

#### **(1) State Administrative Agency**

- (a) The mission of the North Carolina Department of Health and Human Services, Division of Social Service's Office of Economic Opportunity is to administer grant programs that provide opportunities for low-income individuals and families to become self-sufficient through the provision of financial resources to Community Action Agencies, and Limited Purposes Agencies, for programs that will substantially reduce the number of citizens in our state who are living in poverty. The responsibilities of the Office of Economic Opportunity are to plan and administer the Community Services Block Grant Program in conformance with federal and state regulations; to provide a broad range of technical assistance and training to the staff and governing bodies of grant recipients; and to give priority to mobilization and coordination of anti-poverty resources at the state level.
- (b) The goals and objectives of the Office of Economic Opportunity are to:
  - (i) Increase the number of families attaining economic self-sufficiency (income above the poverty level based on household size).
  - (ii) Improve the administrative efficiency of the Office through staff training and development and integration of the use of automation technology in all aspects of office operations.
  - (iii) Build grantee and community capacity to plan, develop and deliver services.
  - (iv) Develop partnerships and collaborate with Departmental Divisions, other state agencies, public and private human service organizations to promote more effective utilization of existing resources.
  - (v) Ensure results-based performance management through monitoring, assessment and evaluation standards
  - (vi) Identify and pursue funding from public and private sources to improve and enhance programs operated by local grantees.

#### **(2) Eligible Entities: (reference the list provided on the next two pages)**



**FISCAL YEARS 2014 AND 2015 COMMUNITY SERVICES  
BLOCK GRANT PROGRAM  
LISTING OF ELIGIBLE ENTITIES AND GEOGRAPHIC AREAS SERVED**

**Alamance County Community Services, Inc.**  
Alamance County

**Blue Ridge Community Action, Inc.**  
Burke, Caldwell and Rutherford Counties

**Blue Ridge Opportunity Commission, Inc.**  
Alleghany, Ashe, and Wilkes Counties

**Catawba County Social Services**  
Catawba County

**Charlotte Area Fund, Inc.**  
Mecklenburg County

**Choanoke Area Development Association, Inc.**  
Hertford, Bertie, Halifax, and Northampton Counties

**Coastal Community Action, Inc.**  
Carteret, Craven, Jones and Pamlico Counties

**Community Action Opportunities, Inc.**  
Madison, Buncombe and McDowell Counties

**Cumberland Community Action Program, Inc.**  
Cumberland and Sampson Counties

**Davidson County Community Action, Inc.**  
Davidson County

**Eastern Carolina Human Services Agency, Inc.**  
Onslow, Duplin and New Hanover Counties

**Economic Improvement Council, Inc.**  
Camden, Chowan, Currituck, Dare, Gates, Hyde, Pasquotank, Perquimans, Tyrrell and Washington Counties

**Experiment in Self-Reliance, Inc.**  
Forsyth County

**Iredell Community Action Research and Evaluation, Inc.**  
Alexander and Iredell and Counties

**Johnston-Lee-Harnett Community Action, Inc.**  
Johnston, Lee and Harnett Counties

**Joint Orange-Chatham Community Action, Inc.**  
Orange, Chatham and Randolph Counties

**Macon Program For Progress, Inc.**  
Macon County

**Martin Community Action, Inc.**  
Beaufort, Martin and Pitt Counties

**Mountain Projects, Inc.**  
Haywood and Jackson Counties

**Nash Edgecombe Economic Development, Inc.**  
Edgecombe, Nash, and Wilson Counties

**Operation Breakthrough, Inc.**  
Durham County

**Passage Home**  
Wake County

**Salisbury-Rowan Community Action, Inc.**  
Cabarrus and Rowan Counties

**Sandhills Community Action Program, Inc.**  
Anson, Montgomery, Moore and Richmond Counties

**Union County Community Action, Inc.**  
Union County

**W.A.M.Y. Community Action, Inc.**  
Watauga, Avery, Mitchell, and Yancey Counties

**Four-County Community Services, Inc.**  
Bladen, Brunswick, Hoke, Robeson,  
Columbus, Pender, and Scotland Counties

**Wayne Action Group For  
Economic Solvency, Inc.**  
Wayne County

**Four Square Community Action, Inc.**  
Cherokee, Clay, Graham, and Swain Counties

**Welfare Reform Liaison Project, Inc.**  
Guilford County

**Franklin-Vance-Warren Opportunity, Inc.**  
Franklin, Granville, Vance and Warren Counties

**Western Carolina Community Action, Inc.**  
Henderson, Transylvania and Polk Counties

**Gaston Community Action, Inc.**  
Cleveland, Gaston, Lincoln and Stanly Counties

**Yadkin Valley Economic  
Development District, Inc.**  
Davie, Stokes, Surry, and Yadkin Counties

**Greene Lamp, Inc.**  
Greene and Lenoir Counties

See also Appendices for state map.

(3) Distribution and Allocation of Funds

A. Planned Distribution of Funds for Fiscal Years 2014 and 2015

<b>Distribution Category</b>	<b>% Distribution</b>	<b>FY 2014 ALLOCATION</b>	<b>FY 2015 PROJECTED ALLOCATION</b>
Eligible Entities	90%	\$22,402,724	\$14,922,404
Limited Purpose Agencies/ Discretionary	5%	\$1,244,596	\$829,023
State Administration	5%	\$1,244,596	\$829,023
Total	100%	\$ 24,891,916	\$16,580,450

B. Description of Criteria and Distribution Formula

FY 2014 and 2015 CSBG Programs will be allocated as follows:

- (1) Ninety percent of the funds allocated to North Carolina under the Community Services Block Grant Program will be distributed to eligible entities as described in the Act as follows:
  - (a) Funds shall be allocated based on the ratio (percentage of poverty) in the county or counties served by the eligible agency as compared to the number of persons in poverty in the total area (counties served by all eligible agencies). Starting State Fiscal Years 2014 and 2015, North Carolina will utilize the most current U.S. Census Small Area Income Poverty Estimates (SAIPE) as the source for documenting the number of persons in poverty. In prior years, the Decennial Census was utilized; however, the Decennial Census no longer provides

county level poverty estimates. Utilizing SAIPE will allow the state administering office and local agencies to be more timely in acknowledging and responding to the changing demographics across North Carolina.

(b) However, no eligible agency shall receive less than:

- i) An allocation of one hundred twenty thousand dollars, or
- ii) Eighty percent of the eligible agency's Federal Fiscal Year 1982 allocation whichever is higher.

- (2) Five percent of the funds will be used by the Office for administration of the CSBG Program.
- (3) The remaining five percent of the funds will be used to make grants to Limited Purpose Agencies who were funded by the Community Services Administration in Federal Fiscal Year 1981 for the purpose of operating projects for a specific target population, such as American Indian, or for a specific program area, such as housing and which were funded by the Office in Fiscal Year 1982 to carry out similar specific and limited projects. Pending exploration of modifications to the North Carolina Administrative Code, these funds may be awarded to other agencies that address the effects and causes of poverty in North Carolina.

**\*\*Note:** In accordance with provisions of the Act, the state allows funds unexpended by an eligible entity at the end of a fiscal year to remain available for use by that entity for an additional period of one year. In order to expend funds remaining from a previous year, an eligible entity is required to submit for the State's review, an audit of the prior year's expenditures along with a request detailing the planned use of the unexpended funds.

C. Description of Distribution and Use of Restricted Funds (planned for FY 2014 and FY 2015). **\*\*Note:** This is subject to change based on sequestration and federal budget authorization.

<b>GRANTEE</b>	<b>FY 2014 ALLOCATION</b>	<b>FY 2015 PROJECTED ALLOCATION</b>	<b>SERVICES/ PROJECTS</b>
Alamance County Community Services Agency, Inc.	\$325,411	\$235,500	Self-Sufficiency
Blue Ridge Community Action, Inc.	\$597,081	\$431,516	Self-Sufficiency Information and Referral (Linkages)
Blue Ridge Opportunity Commission, Inc.	\$292,594	\$213,718	Self-Sufficiency Emergency Assistance
Catawba County Social Services	\$285,613	\$195,129	Youth Education
Charlotte Area Fund, Inc.	\$1,618,236	\$1,411,495	Self-Sufficiency Nutrition
Choanoke Area Development Association, Inc.	\$548,270	\$272,351	Self-Sufficiency
Coastal Community Action, Inc.	\$462,067	\$274,702	Self-Sufficiency
Community Action Opportunities, Inc.	\$724,313	\$492,259	Self-Sufficiency
Cumberland Community Action Program, Inc.	\$1,133,889	\$664,001	Self-Sufficiency
Davidson County Community Action, Inc.	\$324,093	\$209,896	Self-Sufficiency
Eastern Carolina Human Services Agency, Inc. * additional \$655,547 planned for future contracting due to New Hanover County Designation December 22, 2013	\$570,983	\$644,648	Self-Sufficiency
Economic Improvement Council, Inc.	\$462,123	\$255,170	Self-Sufficiency
Experiment in Self-Reliance, Inc.	\$760,046	\$570,690	Self-Sufficiency
Four-County Community Services	\$1,614,709	\$974,301	Self-Sufficiency
Four Square Community Action, Inc.	\$187,135	\$120,000	Information & Referral (Linkages)
Franklin-Vance-Warren Opportunity, Inc.	\$504,476	\$307,551	Self-Sufficiency
Gaston Community Action, Inc.	\$1,024,606	\$658,990	Self-Sufficiency
Greene Lamp, Inc.	\$287,835	\$176,060	Self-Sufficiency
Iredell Community Action Research and Evaluation, Inc.	\$334,697	\$248,831	Self-Sufficiency
Johnston-Lee-Harnett Community Action, Inc.	\$788,479	\$523,932	Self-Sufficiency
Joint Orange-Chatham Community Action, Inc.	\$745,946	\$456,951	Self-Sufficiency
Macon Program for Progress, Inc.	\$140,099	\$120,000	Self-Sufficiency
Martin Community Action, Inc.	\$866,201	\$510,015	Self-Sufficiency
Mountain Projects, Inc.	\$244,837	\$161,399	Information & Referral (Linkages)
Nash-Edgecombe Economic Development, Inc.	\$769,699	\$463,356	Self-Sufficiency
Operation Breakthrough, Inc.	\$780,751	\$457,564	Self-Sufficiency
Passage Home	\$1,145,354	\$936,832	Self-Sufficiency & Youth

Salisbury-Rowan Community Action Agency, Inc.	\$538,160	\$431,409	Self-Sufficiency
Sandhills Community Action Program, Inc.	\$561,757	\$338,142	Self-Sufficiency
Union County Community Action, Inc.	\$237,014	\$191,049	Self-Sufficiency
W.A.M.Y Community Action, Inc.	\$307,153	\$191,341	Self-Sufficiency
Wayne Action Group for Economic Solvency, Inc.	\$343,513	\$233,806	Self-Sufficiency
Welfare Reform Liaison Project	\$1,073,327	\$803,530	Self-Sufficiency/ Employment
Western Carolina Community Action, Inc.	\$293,841	\$201,301	Self-Sufficiency
Yadkin Valley Economic Development District, Inc.	\$437,015	\$306,861	Self-Sufficiency
<i>Undesignated Counties</i>			Designation Pending
Caswell	\$71,479	\$38,758	TBD
Person	\$95,226	\$62,899	TBD
Rockingham	\$249,144	\$136,451	TBD
Total	\$22,402,724	\$14,922,404	

#### D. Description of Distribution and Use of Discretionary Funds

**\*\*Note:** This is subject to change based on sequestration and federal budget authorization. The North Carolina Administrative Code is under review for updates/ revisions related to the use of discretionary funding.

Five percent of the CSBG Program allocation will be used to fund four Limited Purpose Agencies as shown in the following table or may be awarded to agencies that address the effects and causes of poverty pending modifications to the North Carolina Administrative Code.

<b>GRANTEE</b>	<b>FY 2014 ALLOCATION</b>	<b>FY 2015 PROJECTED ALLOCATION</b>	<b>SERVICES/PROJECTS</b>
Telamon, Inc.	\$158,633	\$105,665	Education: provides services to assist youth of migrant and seasonal farmworkers ages 16-24 with remaining in high school or attaining their General Education Diploma (GED).
The Affordable Housing Group of North Carolina	\$190,423	\$126,840	Housing: provides technical assistance to community-based organizations for the purpose of developing or restoring safe/ affordable housing. Provides Housing Counseling to community-based organizations.
Western Economic Development Organization	\$119,481	\$79,586	Employment: provides marketing services to increase the income of residential substance abuse program participants.
North Carolina Commission of Indian Affairs	\$53,264	\$35,479	Education: provides services to low-income American Indian students to assist them with remaining in college and maintaining a minimum Grade Point Average (GPA) of 2.0.
Discretionary	\$722,795	\$481,452	NC Administrative Code review needed to determine specific use of funds.
<b>Total</b>	<b>\$1,244,596</b>	<b>\$829,023</b>	

#### E. Description of Use of Administrative Funds

Five percent of the FY 2014 and 2015 CSBG Program allocation will be used for administration. No CSBG Program funds will be used for the Charity Tax Credit Program.

FY 2014 and 2015 Proposed Administrative Funds Usage

\*\*Note: This is subject to change based on sequestration and federal budget authorization.

<b>DISTRIBUTION</b>	<b>FY 2014 ALLOCATION</b>	<b>FY 2015 PROJECTED/ ALLOCATION</b>
State Office Administration- funds used by the N.C. Department of Health and Human Services – Division of Social Services Office of Economic Opportunity to provide whole-health agency monitoring and training/technical assistance to program grantees to ensure compliance with federal rules and regulations and department level support for the coordination of integrated service delivery for anti-poverty and human service programs.	\$1,244,596	\$829,023
<b>Total</b>	<b>\$1,244,596</b>	<b>\$829,023</b>

## F. State Community Services Program Implementation

### (1) Program Overview

#### (a) The Service Delivery System:

The CSBG Program service delivery system is currently composed of thirty-five CAAs, and four LPAs. Thirty-four of the CAAs are private non-profit organizations and one is a public agency. This service delivery system is being expanded through the designation process for the three North Carolina counties (Caswell, Person and Rockingham) not presently receiving CSBG funding and New Hanover County due to the previous designee relinquishing the CSBG designation. The four LPAs were recipients of funding from the Community Service Administration in FFY 1981 and provide services to a specific population or in a specific service category.

In an effort to assure that the voice of low-income persons is heard, the tripartite board structure has a sector that is designated for low-income representation and active engagement in all CAAs (eligible entities). The unique board composition offers opportunity for the low-income population to participate in the oversight, development and evaluation of agency programs.

Most CAAs implement a comprehensive service delivery system to assist individuals and families with moving from poverty to economic independence. These agencies provide an array of services to families within their agency or in partnership with other human service agencies. Head Start, Workforce Investment Act, Weatherization and Section 8 Rental Assistance are among the federal programs operated by most North Carolina CAAs. North Carolina CAAs also operate federal/state funded projects inclusive of but not limited to employment, nutrition, youth development, senior services and housing. Multi-county CAAs operate with a central office and neighborhood centers located throughout the service area. Many agencies work closely with community organizations and neighborhood groups to achieve effective outreach and to stimulate involvement in local affairs.

In-keeping with the National Results Oriented Management and Accountability (ROMA) goals and best practices, North Carolina encourages CSBG grantees to embrace and execute a Self-Sufficiency (comprehensive case management) concept to better mobilize CSBG funding for direct service provision to low-income families while also leveraging these dollars with other agency and community resources. While this approach in some regards is vastly different from the way other states

administer CSBG, North Carolina believes it affords us a methodology to demonstrate 1) CSBG's effectiveness of changing people's lives by moving them from poverty based on 100% of the Federal Poverty Guidelines to economic stability and into independence, 2) allows us to scale participants' progress from In-Crisis to Thriving to demonstrate CSBG's incremental effectiveness, 3) provides subgrantee agencies with an effective framework for creating meaningful collaborations, producing optimal partnerships and generating additional funding to further the agencies goals for CSBG in response to identified poverty problems within their communities and 4) permits the usage of CSBG for direct services provision to participants not for administrative purposes only. Although this is currently the preferred approach in North Carolina, it should be noted that in addition to Self-Sufficiency, North Carolina's sub-grantee agencies have the flexibility to administer Employment, Housing, Education, Nutrition, Emergency Assistance, Information and Referral and Income Management projects with CSBG funding. Since the early 2000's, it has been North Carolina's philosophy that all eligible projects and CSBG funding uses could be best coordinated within the Self-Sufficiency framework to better address and alleviate the causes of poverty.

(b) Linkages

Strategies utilized to develop linkages to fill identified gaps in services by local entities include:

- participation on local human service councils where representatives from human service agencies meet regularly to identify solutions to specific problems being experienced by low-income families,
- cross referrals between partners in local Job Link Centers,
- the pursuit of funding from public and private agencies (state government, local government, United Ways, foundations, corporations),
- recruitment of volunteers from faith-based organizations, correctional institutions, high schools, and colleges, and
- participation in county-wide electronic data sharing networks.

(c) Coordination with Other Public and Private Resources

A major component of the strategic plan completed by all grantees is the resource analysis, which identifies all public and private community resources that are available to solve an identified poverty problem. Agencies responsible for these resources are identified partners in the grantees' plan for action on the identified poverty problem and coordination activities are identified and listed in the agency work plan.



#### (d) Innovative Community and Neighborhood-based Initiatives

Strategies local grantees use to foster innovative community and neighborhood-based initiatives often inclusive of fatherhood initiatives with the goal of strengthening families and encouraging effective parenting are:

- collaborative efforts with Head Start programs,
- the provision of small seed grants to community and neighborhood groups for special projects,
- providing funding for consultant/training on special topics in target neighborhoods,
- providing funds for community residents to attend workshops, conferences or training on selected topics.
- North Carolina recognizes the increased focus on innovative practices as it relates to performance efficiencies and will encourage additional innovative approaches as specifically as state and federal guidance becomes available relative to discretionary funding.

#### (2) Community Needs Assessments:

As a part of North Carolina's CSBG funding application, each eligible entity is required to submit a Community Anti-Poverty Plan. The plan must include a community needs assessment for the counties served which may be coordinated with community needs assessments conducted by other sources.

#### (3) Tripartite Boards

North Carolina Administrative Rules require that:

- (a) Each eligible private grant recipient must have a board of directors consisting of at least 15 members and not more than 51 members.
- (b) The board of directors of private grant recipients shall be constituted so as to assure that:
  - (i) one-third of the members of the board are elected public officials, holding office on the date of selection, or their representatives, except that if the number of such elected officials reasonably available and willing to serve is less than one-third of the membership of the board, membership on the board of appointive public officials or their representatives may be counted in meeting such one-third requirement;